

EN

Annex I

Uniform arrangements for the development and application of a national catalogue of measures as referred to in Article 8

1. Cases of non-compliance may be classified as minor, major or critical as follows:
 - (a) the case of non-compliance is minor when:
 - (i) the precautionary measures are proportionate and appropriate, and the controls that the operator has put in place are efficient;
 - (ii) the non-compliance does not affect the integrity of the organic or in-conversion product;
 - (iii) the traceability system can locate the affected product(s) in the supply chain and prohibition of placing products on the market with reference to organic production is possible;
 - (b) the case of non-compliance is major when:
 - (i) the precautionary measures are not proportionate and appropriate and the controls that the operator has put in place are not efficient;
 - (ii) the non-compliance affects the integrity of the organic or in-conversion product;
 - (iii) the operator did not correct in a timely manner a minor non-compliance;
 - (iv) the traceability system can locate the affected product(s) in the supply chain and prohibition of placing products on the market with reference to organic production is possible;
 - (c) the case of non-compliance is critical when:
 - (i) the precautionary measures are not proportionate and appropriate and the controls that the operator has put in place are not efficient;
 - (ii) the non-compliance affects the integrity of the organic or in-conversion product;
 - (iii) the operator fails to correct previous major non-compliances or repeatedly fails to correct other categories of non-compliances;
 - (iv) there is no information from the traceability system to locate the affected product(s) in the supply chain and prohibition of placing products on the market with reference to organic production is not possible.

2. Measures

Competent authorities or, where appropriate, control authorities or control bodies may apply the following measures in a proportionate manner to the listed categories of cases of non-compliance:

Category of non-compliance	Measure
Minor	Submission by the operator of an action plan within time limit set on the correction of non-compliance
Major	<p>No reference to organic production in the labelling and advertising of the entire lot or production run concerned (crop(s) or animal(s) affected) in accordance with Article 42(1) of Regulation (EU) 2018/848</p> <p>Prohibition of marketing products which refer to organic production for a given period in accordance with Article 42(2) of Regulation (EU) 2018/848</p> <p>New conversion period required</p> <p>Limitation of certificate's scope</p> <p>Improvement of the implementation of the precautionary measures and the controls that the operator has put in place to ensure compliance</p>
Critical	<p>No reference to organic production in the labelling and advertising of the entire lot or production run concerned (crop(s) or animal(s) affected) in accordance with Article 42(1) of Regulation (EU) 2018/848</p> <p>Prohibition of marketing products which refer to organic production for a given period in accordance with Article 42(2) of Regulation (EU) 2018/848</p> <p>New conversion period required</p> <p>Limitation of the certificate's scope</p>

	Suspension of the certificate
	Withdrawal of the certificate

Annex II

OFIS templates as referred to in Article 9

1. Template for a standard notification on suspected or established non-compliance [between Member States and the Commission]

*First language:
Second language:
A. Notifying Member State:
1) Country:
2) Competent authority – contact details:
*3) Date of notification (DD/MM/YYYY):
*4) Reference
B. Notified Member State or Member States:
*1) Country/countries:
2) Competent authority/authorities – contact details:
C. Product:
*1) Category of product:
*2) Product/trade name:
*3) Country of origin:
4) Description of the product (packaging size and form, etc.) - please attach copied or scanned

seal or label:
5) Identification of the lot (e.g. lot number, delivery number, delivery date, etc.):
6) Other information:
D. Traceability:
Please describe in detail the complete supply chain:
1) Producer - contact details - competent authority or, where appropriate, the control authority or control body:
2) Processor/seller in the country of origin - contact details - competent authority or, where appropriate, the control authority or control body:
3) Importer in the notifying country - contact details - competent authority or, where appropriate, the control authority or control body:
4) Wholesaler - contact details - competent authority or, where appropriate, the control authority or control body:
5) Retailer or other operator in the notifying country, where the non-compliance has been detected - contact details - competent authority or, where appropriate, the control authority or control body:
Authority (ies):
Other actors:
E. Non-compliance, suspicion of non-compliance, other problem raised:
*1) Nature of the non-compliance/suspicion of non-compliance/other problem raised. Which non-compliance/suspicion of non-compliance/other problem raised has been identified?:
*In what aspect does it represent a non-compliance/suspicion of non-compliance/other problem raised with Regulation (EU) 2018/848 of the European Parliament and of the Council ¹ ?:
2) Context of the detection of the non-compliance/suspicion of non-compliance/other problem raised - please attach a copy of invoice or other supporting documents:
Date of the detection of the non-compliance/suspicion of non-compliance/other problem raised (DD/MM/YYYY):
Place of the detection of the non-compliance/suspicion of non-compliance/other problem

¹ Regulation (EU) 2018/848 of the European Parliament and of the Council of 30 May 2018 on organic production and labelling of organic products and repealing Council Regulation (EC) No 834/2007 (OJ L 150, 14.6.2018, p. 1).

raised:
3) Analysis of the samples/tests (if any) - please attach a copy of analysis report:
Date of sampling/testing (DD/MM/YYYY):
Place of sampling/testing:
Date of the analysis - report (DD/MM/YYYY):
Details (name of the laboratory, methods used, results):
Name of the substances found:
Level of the residues detected:
Is the level above the threshold allowed in food (or feed) in general?:
Is the level for labeling of GMO-contents overshot?:
F. Market influence:
1) Has the product been withdrawn from the market, blocked or marketed?:
2) Which actors have been already informed?:
3) Are other Member States affected? If so, which Member States?:
G. Measures taken:
1) Have any voluntary measures been taken (on the product/operator/market)?:
2) Have any compulsory measures been taken?:
3) What is the scope of the measures (national, regional, exports, etc.)?:
4) Date of entry into force: (DD/MM/YYYY):
5) Duration (in months):
6) Justification/ legal basis of the measures:
7) Which competent authority or, where appropriate, control authority or control body has adopted the measures?:
H. Other information/Evaluation:
I. Annexes:
Copied or scanned documentation of the product (seal, label, etc.). Copy of invoice, account or document of transport or delivery order. Analysis report and/or any other relevant documents:

2. Template for a standard reply to a standard notification on suspected or established non-compliance [between Member States and the Commission]

*First language:
Second language:
Version of reply:
A. Notified Member State:
1) Country:
2) Competent authority – contact details:
*3) Date (DD/MM/YYYY):
*4) Reference:
B. Notification:
1) Country:
2) Competent authority – contact details:
*3) Date of notification (DD/MM/YYYY):
*4) Reference of notification (same as in point A.4 of the notification):
*5) Product:
6) Non-compliance/suspicion of non-compliance/other problem raised:
C. Investigation
1) Which competent authority(-ies) or, where appropriate, control authority(-ies) and/or control body(-ies) are/were in charge of the investigation?:
2) Describe cooperation between the different operators and competent authority(-ies) or, where appropriate, control authority(-ies) and/or control body(-ies) involved, in the different countries involved (if any)?:
3) Which investigation methods/procedures have been used?:
For instance, have the operators concerned been submitted to a specific control?:
Have samples been taken and analysed?:
4) What is the outcome of the investigation?:

What are the results of the inspections/analyses (if any)?:
Has the origin of the non-compliance/suspicion of non-compliance/other problem raised been cleared out?:
What is your assessment on the seriousness of the non-compliance/suspicion of non-compliance/other problem raised?:
5) Have the origin of the contamination/non-compliance/suspicion of non-compliance/other problem raised and the responsibility of the actors been clearly identified and established?:
Have the operators identified been involved in other non-compliance/suspicion of non-compliance/other problem raised cases in the last 3 years?:
D. Measures and penalties:
*1) What preventive and corrective measures have been taken (e.g. as regards the distribution/circulation of the product on the Union market and third-country markets)?:
2) What actions in case of non-compliance/suspicion of non-compliance/other problem raised were taken on the operators and/or the products concerned? ² :
*Mode of actions (written form, warning, etc.)?:
Was the certification of the producer/processor limited, suspended or withdrawn?:
Date of entry into force of the actions (if any) (DD/MM/YYYY):
Duration of the actions (if any) (in months):
Competent authority or, where appropriate, control authority and/or control body which adopted and applied the actions (if any):
3) Are additional inspections planned at the operators concerned?:
4) What other measures are the competent authority or, where appropriate, the control authority or control body planning to prevent the occurrence of similar cases?:
E. Other information:
F. Annexes:

3. Template for an alert notification

1. Alert origin and status
Alerting Country:

² Measure pursuant to Articles 29 (1) and (2), 41(1) to (4) and 42 of Regulation (EU) 2018/848.

Competent authority:			
2. Alerted country or countries			
Country	Competent authority	Coordinator	Scope
3. Non-compliance, fraud, other issue and suspicion thereof (hereinafter "non-compliance")			
<p>Title:</p> <p>Description :</p> <p>What is your assessment on the seriousness of the non-compliance?</p> <p>Which actors have been already informed?</p> <p><u>Detection context</u></p> <p>Date:</p> <p>Place:</p> <p>Person/body detecting the non-compliance:</p> <p>Union legislation at stake (reference(s)):</p>			
4. Product traceability			
<p><u>Description</u></p> <p>Name:</p> <p>Brand/trade name:</p> <p>Other aspects:</p> <p><u>Consignment</u></p> <p>Consignment/lot/delivery number:</p> <p>Country of origin:</p> <p>Total net/gross weight, volume:</p> <p>Other information:</p> <p><u>Supply chain – description of operators</u> (name – type - contact details - control</p>			

body/control authority (with contact details))
5. Measures taken
0. No action yet (please explain why) 1. Blocking product (basis – date - quantities) 2. Downgrading product to conventional (basis – date – quantities – from/to) 3. Suspension of certificate of the operator (from/to - scope) 4. De-certification of operator (as from) 5. Other measures (please describe)
6. Other information
7. Files

(*) *Mandatory fields.*